Special Educational Needs – Wales

Information for families

Wales
Introduction

All parents want their children to do well at school. Some children may need more support than others to achieve their potential. This may be because they have a disability, medical condition or other additional needs. These can mean your child has difficulties in learning or using school facilities. This guide provides information about the different levels of support for children with special educational needs (SEN) sometimes referred to as additional learning needs (ALN), and what you can do if you are not happy with the support your child is receiving.

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Glossary

The following is an explanation of some of the abbreviations and terminology that you may come across.

**ALN**  Additional Learning Needs. This refers to a child’s need for extra provisions to be made to ensure a child can learn effectively according to their needs. ALN is a term that is increasingly being used instead of Special Education Needs (SEN) in Wales.

**CDC**  Child development centre. A specialist health centre which children attend for assessment and support if there are concerns about their development. Many different child health professionals work together there, such as paediatricians, physiotherapists, occupational therapists and speech and language therapists.

**Children’s centres**  These are being developed to help all families with young children access services and information they need close to home. These centres aim to provide joined up services such as health, education, family support and childcare. Families can also access help from multi-disciplinary teams of professionals.


**BESD**  Behavioural, emotional and social difficulties.

**EP**  Educational psychologist. A person who assesses a child or young person’s learning and emotional needs.

**ESTYN**  A government department responsible for the inspection of all schools in Wales, see www.estyn.gov.uk for more information.

**ESW**  Education social worker (also known as EWO Education welfare officer). A person employed by a local authority to help parents and local authorities meet their responsibilities on school attendance.

**IEP**  Individual Education Plan. A document for schools to record short-term targets and strategies for an individual pupil that is different from, or additional to, other pupils in the class.

**LA**  Local authority. Local government body with a wide range of responsibilities for children’s education. Local authorities are now integrating all services for children and young people under one department. Please note the SEN Code of Practice for Wales still refers to the local education authority (LEA).

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What are special educational needs?

Special educational needs (SEN) have a legal meaning. The Education Act 1996 legally defines children with SEN as children who have a considerably greater difficulty in learning than others the same age. SEN includes consideration for communication, physical and sensory, emotional difficulties that require support, this includes children who are not necessarily disabled.

It also includes children who cannot use the educational facilities which other children of a similar age use because of their disability. Children under school age, who would fall into either category without extra help, are also included. All may need extra or different help in education from that given to other children without SEN. In some areas of Wales, SEN is referred to as additional learning needs (ALN).

I think my child might have special educational needs

If you’re concerned that your child has special educational needs talk to a professional involved with your child. Depending on the age of your child, you could talk to your health visitor, someone in their early years education setting, their teacher or the SENCO at the school. Tell them what your concerns are, giving examples to illustrate your concerns, and ask what support can be put in place. You may wish to contact other support agencies listed in the ‘Sources of advice and information’ section at the end of this guide.
Putting support in place

Children learn at different rates and in different ways, so teachers differentiate the curriculum in order to meet the range of learning needs in their class. This means they may use different teaching methods and teach children at different levels.

Some children’s needs may not be met through differentiating the curriculum and schools have a graduated response to meeting children’s special educational needs. If you are concerned about your child's progress at school, please speak to the staff at school.

Support for children under two

If your child has a particular condition or health problem which has caused concern from an early age, they may be referred by a health professional to the local authority (LA) for a statutory assessment. If you request a statutory assessment for your child when they are under two years of age, the LA must carry it out. This assessment does not need to follow the statutory procedures that are applicable for children over two. Statements for children under two are rare. The LA should first consider individual support based on your child’s needs. If a statement is issued, it is usually because your child has complex needs or a particular service is required, for example home-based teaching.

The Education Act 1996 legally defines children with SEN as children who have a considerably greater difficulty in learning than others the same age. It includes children who, because of their disability, cannot use the educational facilities which other children of a similar age use.

Welsh language

The SEN Code of Practice for Wales clearly outlines the responsibilities LAs and schools have under the Welsh Language Act 1993. This means that English and Welsh languages should be treated equally. Parents should have the opportunity to communicate in the language of their choice. Sections 1:7 to 1:15 of the Code of Practice gives further details of how meetings, assessments, support services and children’s education should be provided in relation to the Welsh language. Schools are also under a duty to promote access to education for children in a Welsh language medium.
School Action, School Action Plus, and Individual Education Plans

School Action

This is when your child receives support or interventions that are additional to or different from those provided as part of the school’s usual differentiated curriculum and strategies. In early years education this stage is called Early Years Action.

School Action Plus

This is like School Action but has an additional element where there is a request for help from outside services or specialist help to meet your child’s needs. This should be done after a meeting with you, the SENCO and other colleagues involved with your child. In early years education this is called Early Years Action Plus.

Individual Education Plan (IEP)

Strategies used to enable your child to progress at School Action or School Action Plus should be recorded in an Individual Educational Plan (IEP). An IEP should contain:

- short term targets for your child
- teaching strategies to be used
- provision to be put in place
- when the plan is to be reviewed
- success and/or exit criteria
- outcomes.

The IEP should be reviewed at least twice a year (or at least three times a year for Early Years). You should be consulted as part of the review process.

If you feel that your child’s needs are not being met by School Action or School Action Plus, you can request a statutory assessment.
Statutory assessments

A statutory assessment is a multi-professional look at your child’s special educational needs. You or the school can formally request a statutory assessment from the LA. Other agencies, such as health and social services, can also make a request. See page 8 for an example letter you can adapt to request a statutory assessment for your child.

If you make a request, in your letter you should include the reasons why you want an assessment and any supporting information. You should send this letter to the Director of Childrens’ services at your LA.

If you request a statutory assessment, the LA will consult your child’s school for information about the support already in place for your child, your child’s progress and the school’s views of your child’s special educational needs.

After the local education authority (LA) receives a request

After receiving a request for a statutory assessment, the LA will consider whether to make a statutory assessment. They must write to you to inform you that they are considering making a statutory assessment. They should also set out the procedures involved and tell you of your rights to submit evidence, which can be submitted in English or Welsh. The LA must set a time limit for you to give your evidence. This must not be less than 29 days.

A named officer and the local parent partnership service

The LA will also give you details of a named officer at the LA who you can contact for more advice and details of your parent partnership service. (See page 25 for information about this service.)

Time frame for deciding if an assessment is required

The LA has six weeks to decide whether or not to make a statutory assessment. These six weeks include the 29 days you are given to submit your own evidence.

When a parent’s request may be refused

Following a request for an assessment from a parent, the LA must comply unless:

• they have made a statutory assessment within six months of the request
• they conclude, after examining all the evidence, that a statutory assessment is not necessary.

If the local authority decides not to make a statutory assessment, they must write to you and explain why. The letter should also contain information about your right to appeal and details of a disagreement resolution service (see page 20 for more information about taking these steps).
Sample letter

This is an example of a letter you can use to request a statutory assessment. Please amend the letter so it is relevant to your situation.

Date of letter

Dear Sir or Madam,

I am writing to request an assessment of my daughter/son’s (give your child’s full name and date of birth) special educational needs under Section 323 of the Education Act 1996.

I am making this request as is my right under Section 329 of the Act.

I believe (child’s full name)’s special educational needs are as follows: List your child’s special educational needs.

I think my child needs more help than the school (give the name of your child’s school) can provide because: Say what your help your child has been receiving and why it isn’t meeting your child’s needs.

I understand that you are required by law to respond to my request within six weeks. I look forward to hearing from you soon.

Yours faithfully,
Your name & signature

Contributing to an assessment

If the LA decides to make a statutory assessment, they must seek parental, educational, medical, psychological and social services’ advice – and any other advice they consider appropriate. The LA can also contact professionals you have named for advice. An assessment, where possible, should also contain the views of your child.

Parental advice

You know the most about your child, so your views and information you provide are very important to the assessment.

The LA should send you guidelines to help you record your advice. If you are having difficulty in writing your views, you can ask your named officer to arrange for someone to record them for you as well as any information you want to provide. Your parent partnership service may be able to help you prepare your advice. Some voluntary organisations, such as and IPSEA, also do this.

Some things you may want to consider when providing your advice:
Reassessments
Sometimes it is necessary to request a reassessment of your child’s needs when they already have a statement. You can request a reassessment if:

• your child’s needs have changed since the last statement was issued
• you think your child needs different or more help than is outlined in the statement
• you want your child to attend a different kind of school (for example you want to move your child from a mainstream school to a special school).

If the LA decides not to issue a statement or amend an existing statement, it must notify the school and you explaining why. The letter should explain your right to appeal and contain details of a disagreement resolution service. See page 21 for further information about appeals and disagreement resolution services.

Note in lieu of a statement
If the local authority decides that your child’s special educational needs can be met by the school without the need for a statement, it may issue a note instead of a statement. This is not a legally binding document but may provide the school and you with guidance in supporting your child. If the LA issues a note in lieu of a statement, it must also provide

Requesting a reassessment
You can request a reassessment in the same way as requesting a statutory assessment; by you and/or the school writing to the LA. You can use the letter on page 8, but remember to amend it to say that you are requesting a reassessment and include the statement ‘I am making this request as is my right under Section 328 of the Education Act 1996’. You should also include your reasons for wanting a reassessment and explain why the statement is no longer suitable. The process and timescales involved are the same as for requesting a statutory assessment.

When the local authority (LA) has received all the advice
When they have received all the advice, the LA must decide whether to issue a statement. The LA has 10 weeks to make the statutory assessment and decide whether to issue a statement.

• any health needs your child has
• your child’s physical, communication and personal skills
• what your child is like at home, for example their behaviour, any hobbies and interests, relationships with family members
• your child at school, for example, what they enjoy at school, problem areas, relationships with peers
• your child’s behaviour
• what help is being provided and whether it is working
• what help you think your child needs
• your child’s views.

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details of how you can appeal and details of a disagreement resolution service (see page 20).

**Statement of special educational needs**

Local authorities must follow the same procedures for issuing a new statement and for amending an existing statement following a reassessment.

**Proposed statement**

If the LA decides that your child needs extra provision to meet their special educational needs, you will be sent a proposed statement or, following a reassessment, a proposed amended statement. You should also receive a list of all maintained primary or secondary schools, and a list of non-maintained special schools and approved independent schools. All reports and evidence gathered for the statutory assessment must be included with the proposed statement, usually attached to the back as appendices.

**What the statement should contain**

The statement is made up of six parts:

**Part one: Introduction**

This contains your child’s details such as name, address and date of birth.

**Part two: Special educational needs**

This is a description of your child’s current special educational needs as identified by the LA. It should be arranged in a way that relates directly to the provision specified in part three of the statement and should draw on and refer to the professional advice attached in the appendices.

**Part three: Special educational provision**

This contains the special educational provision that the local authority considers necessary to meet your child’s special educational needs. It should include:

- the objectives of the provision
- details of the special educational provision the local authority considers

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**Assessment timetable**

<table>
<thead>
<tr>
<th>Action</th>
<th>Time frame</th>
</tr>
</thead>
<tbody>
<tr>
<td>Considering whether a statutory assessment is necessary</td>
<td>6 weeks</td>
</tr>
<tr>
<td>Carrying out the assessment and deciding whether or not to produce a statement</td>
<td>10 weeks</td>
</tr>
<tr>
<td>Drafting the proposed statement or note in lieu</td>
<td>2 weeks</td>
</tr>
<tr>
<td>Finalising the proposed statement</td>
<td>8 weeks</td>
</tr>
<tr>
<td>Total</td>
<td>26 weeks</td>
</tr>
</tbody>
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necessary to meet your child’s special educational needs
• the arrangements for monitoring progress to see if the provision is meeting the objectives.

Part four: Placement
This is the type and name of school, or the LA’s arrangements for provision to be made otherwise than in school. This part will be left blank in the proposed statement for you to state a maintained school or make representations for a non-maintained or independent school. See ‘Choosing a school’ on page 12 for further information.

Part five: Non-educational needs
This contains your child’s non-educational needs as agreed between health, social services or other agencies and the LA.

Part six: Non-educational provision
This is the provision to meet the non-educational needs outlined in part five. It should include the objectives of the provision, and explain the arrangements agreed between the local authority (LA) and the organisation making the provision for ensuring it is delivered. Part six does not have any legal force.

Checking the proposed statement
It is very important that you check the proposed statement thoroughly and make sure you’re happy with all parts. It may be useful to make a copy of the proposed statement and the advice reports, in case you want to write any notes on it or highlight parts.

When reading the proposed statement, try to imagine a new teacher or a different school with no knowledge of your child reading the statement. Would the statement tell them your child’s main learning difficulties and the help needed to meet those difficulties? Check the following:

• it lists all of your child’s needs and difficulties. Check the advice reports and make sure that each difficulty or need is listed in part two
• it lists all the provision needed by your child. Make sure that for each difficulty listed in part two there is a provision listed in part three
• the help is described in detail. Make sure that for each provision, it says how many hours help will be provided, who will provide it and how often
• details are given of any special equipment your child needs and who will provide it
• break times and lunchtimes are covered if your child needs help during these periods
• make a list of any disagreements or gaps between all the professionals’ reports and the proposed statement
• highlight any words or phrases that you don’t understand and ask for an explanation
• make a note of anything you disagree with, anything that is unclear or any gaps. You can also include any wording you would like to see in the statement.

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Timescales involved

You have 15 days after receiving the proposed statement to make representations (give your opinions) about the proposed statement to the local authority and to express a preference for a school.

You can also request a meeting with the LA to discuss the proposed statement within the 15 days.

If, after a meeting, you still disagree with the proposed statement, you have 15 days to request further meetings and make further representations. After the last meeting, you have a final period of 15 days to submit your comments to the LA.

Within eight weeks of issuing the proposed statement (or longer if your meetings extend this period), the LA must issue a final statement.

Speech and language therapy

If your child needs speech and language therapy, it is important that this is specified in the statement. Speech and language therapy can be an educational or non-educational provision, so can be included in either part three or part six of the statement. However, part six does not have the same legal force as part three, so it is best to have speech and language therapy included in part three.

This is supported by the Code of Practice which states that, as communication is so fundamental in learning and progression, addressing speech and language impairment should normally be recorded as educational provision (included in part three of the statement), unless there are exceptional reasons for not doing so. The Code of Practice also states that the LA has ultimate responsibility for ensuring that speech and language therapy is provided, even though prime responsibility for speech and language therapy rests with the NHS.

Note, speech and language therapy can be provided with School Action and School Action Plus, too, (see page 5).

Getting help

You can take someone with you to any meetings with the LA. A friend, relative or your local parent partnership service can attend the meetings with you. You may also want to arrange meetings with any professionals who contributed to the statutory assessment.

Getting a copy of the final statement

The LA must send you a copy of the final statement, written notice of your rights to appeal to the Special Educational Needs and Disability Tribunal for Wales (SENTW) and the time limits for lodging an appeal. You have two months to lodge an appeal after the LA’s decision. The LA must also inform you of your local parent partnership service, disagreement resolution service and that your right to appeal cannot be affected by any disagreement resolution procedure. The LA may issue a final statement even if you and the LA are still in disagreement.
LAs must arrange the special educational provision from the date on which the statement is made.

**Choosing a school**

Part four of the statement is left blank so you can state your preference for a maintained school, or make representations for a non-maintained special school or an independent school. With the proposed statement you should receive a list of all maintained primary or secondary schools and a list of non-maintained special schools and approved independent schools.

**Stating a preference for a maintained mainstream or special school**

The SEN and Disability Discrimination Act (2001) gives you a stronger right than before for a place in a mainstream school for your child. LAs can only refuse a mainstream school place where the education of other children would suffer. In this situation, they may offer another mainstream school which would better meet your child’s needs.

This strengthened right to a mainstream place does not prevent you from stating a preference for a maintained special school.

**When the LA can refuse your preference for a maintained school**

The LA must name the maintained school you prefer unless:

- the school is unsuitable for the child’s age, ability and aptitude and the special educational needs set out in part two of the statement, or
• the child’s attendance is incompatible with the efficient education of other children in the school, or
• the child’s attendance is incompatible with the efficient use of the local authority’s resources.

Choosing a non-maintained or independent school

If you want your child to go to a non-maintained special school or independent school, you have no right to ‘state a preference’ for such a school but you can ‘make representations’. The local authority should listen to your representations but they will first consider if there is suitable provision within the maintained sector. When making representations for a non-maintained special school or independent school, it is not enough to argue why the school is best for your child. You need to show that LA provision (the maintained sector) is unable to meet your child’s needs.

You can choose to send your child to a fee-paying independent school at your own expense. If the LA is happy the school can make the provision to meet your child’s needs, and there is a realistic possibility of the place being funded for a reasonable period of time, the LA can state the type of provision in part four of the statement but doesn’t have to name the school. The LA will still have a duty to maintain your child’s statement and review it annually.

When a residential school will be considered

The Code of Practice says that a residential school is likely to be considered where there is multi-agency agreement that your child:

• has severe or multiple SEN that cannot be met by local, day provision
• has severe or multiple SEN that require a consistent programme both during and after school hours that cannot be provided by parents with support from other agencies
• is looked after by the local authority and has complex social and learning needs, and the placement is joint-funded with social services
• has complex medical needs as well as learning needs that cannot be managed in local day provision and the placement is joint-funded with the health authority.

In these situations, the Code of Practice recommends that a multi-agency plan be put into place which enables three-way (tripartite) funding between health, education and social services.

When a residential school is agreed

If it is agreed that a residential school should be named in the statement, you and the LA should also agree arrangements for your child’s contact with their family. Any special help, such as transport, needed to maintain family contact should also be arranged. If your child is attending a residential school for longer than three months, the LA must also inform either the social services department where you live or the one in the area of the residential school. It is good practice, though, to inform both.
**Types of school/education provision**

**Foundation school**
A school which receives funding via the local authority (LA) but retains control of their own administration. When grant maintained schools were abolished, most became foundation schools.

**Independent school**
A school which is not maintained by a LA and charges fees. They may be approved by the Secretary of State for Wales as being suitable for children with special educational needs but are not covered by much of the law governing schools. However, Disability Discrimination under The Equality Act 2010 does apply.

**Non-maintained special school**
A special school in England which is not maintained by a LA and charges fees. They are usually run by a charity or charitable trust.

**Maintained school**
A school maintained by a LA, including community, voluntary aided and foundation schools.

**Mainstream school**
A school which is not a special school and is maintained by the LA.

**Special school**
A school exclusively for children with special educational needs.

**Portage**
Home based educational support for pre-school children with special educational needs.

**Pupil Referral Unit (PRU)**
Alternative education school or other place maintained by a LA to provide education for pupils who are not able to attend mainstream school because of illness, exclusion or another reason.

**Education otherwise than at school**
This is when the LA has made other arrangements for your child, for example education in a pupil referral unit (PRU) or home tuition, or when you are home educating your child.

**When you’ve decided to home educate your child**
If you are home educating your child and he or she has a statement, it is still the LA's duty to ensure that your child's needs are being met. If your arrangements are suitable, the LA is relieved of their duty to arrange the provision specified in the statement. However, they still have a duty to maintain your child’s statement and to review it annually.
Transport

When the local authority must help with transport to school

LAs have a duty to provide free school transport to pupils up to the age of 16 where they consider it ‘necessary’ in order to get them to the nearest suitable school. This will depend on various circumstances, including the walking distance between home and school, family and social circumstances, health, additional needs and/or disability.

The school is beyond ‘walking distance’

LAs generally have a duty to provide free school transport to the child’s nearest suitable school if it is beyond the statutory walking distance from their home. Walking distance is measured as the shortest available route.

The walking distance is defined as:

• up to two miles for pupils in primary school
• up to three miles for pupils in secondary school.

If you have chosen a school beyond walking distance

If your preferred school is beyond the statutory walking distance but the LA considers that there is a nearer suitable school, the LA does not have to provide free school transport.

Other situations when transport may be considered necessary

There are other situations where transport may be considered necessary. LAs can provide transport to pupils who:

• for medical reasons, or because they have a disability, cannot walk to school
• live within the walking distance but who, without free transport, could not reasonably be expected to get to school.
However, LAs have the discretion to pay part or all of any pupil’s reasonable travelling costs.

Information about the LA’s home to school transport

Local authorities must publish their home to school transport policy on an annual basis. They should have a clear policy on transport for children with special educational needs.

Transport and statements

Transport can be included in part four or six of the statement, but it is usually only included in exceptional cases where a child has particular transport needs.

Get advice

Many families experience difficulties in getting the LA to provide suitable transport for their child and have to challenge the LA. Contact the helpline if you experience any difficulties.

Schools are also under a duty to promote access to Welsh medium education to enable children that speak Welsh to access their education in their own language.

Annual reviews

All statements (other than those for children under two) must be reviewed at least annually. Statements can be reviewed more frequently where needed. The annual review is to discuss both the progress your child has made and whether any changes need to be made to the statement. Your views and those of your child are an important part of the review. The timing of annual reviews should reflect the circumstances of your child, such as changing schools. See the section ‘Transition planning’ on page 20 for more information.

Before the annual review

Two weeks before the start of the school term, the LA must inform the headteacher, in writing, of the pupils whose statements must be reviewed that term. The head will invite you and any relevant professionals to the review meeting. The head will then request written advice about your child’s progress and the appropriateness of the statement from you and any professionals the LA and/or the head thinks are appropriate.

At least two weeks before the review meeting, the head will circulate any written evidence and invite comments. Your views are very important. When providing your written advice and reading the advice from others involved in the annual review, you may want to think about the topics you covered when you originally provided parental advice for your child’s statutory assessment and checked your child’s proposed statement.

The review meeting

Often the review meeting will only involve you, teachers from your child’s school and perhaps someone from the LA. Other professionals do not usually attend a routine annual review meeting but will attend if there is a problem, or if
the annual review meeting is to discuss your child’s transition. You can take a friend, relative or someone from the parent partnership service to the review meeting to support you.

The review meeting should consider if your child’s statement is still appropriate, any amendments to be made to the statement and if the LA should continue to maintain the statement. The meeting should look at the progress made in relation to the previous targets and provide a new set of targets for your child for the coming year. These should meet the objectives set out in the statement.

After the review meeting

No later than ten days after the review meeting, the headteacher must prepare and submit a report to the LA. The report will summarise the meeting’s conclusions and include any recommendations, with reasons, as to whether the statement should be amended or maintained. The headteacher will also send a copy of the report to you and any professionals involved with the review process.

The LA will decide whether to make any changes to your child’s statement. They can decide to:

- amend (change) the statement
- leave the statement unchanged
- cease to maintain (end) the statement.

When the Statement is changed

The LA must inform you within one week of deciding to make any changes to the statement and send you a notice that details their proposed amendments. You can make representations about the statement and changes made to it within 15 days of receiving the amendment notice. You can also request a meeting with an officer at the LA to discuss the proposed amendments.
If you disagree with a decision to change or to stop maintaining the statement

When the amended statement is issued, the LA must inform you of your right to appeal. You can appeal if the LA amends the statement and you disagree with any part of it (not only the amendments), or if the LA decides to cease to maintain your child’s statement.

You cannot appeal if the LA decides not to amend the statement. However, you can request a reassessment of your child’s needs if you think they have changed and that the statement does not reflect this. See the section on statutory assessments on page six for further information about requesting a reassessment.

Changing the name of the school

You can ask for the name of the school on your child’s statement to be changed, even if the review doesn’t recommend it. You can only request this if you want your child to go to another maintained school of the same type, for example special to special or mainstream to mainstream. If you want your child to go to a different type of maintained school, for example from a mainstream school to a special school, you need to request a reassessment.

You can ask for the school to be changed as long as it is not within one year of:

• a similar request
• the final statement being issued
• the statement being amended
• the conclusion of an appeal to SENTW.

The review meeting should consider if your child’s statement is still appropriate, any amendments to be made to the statement and if the LA should continue to maintain the statement.

The local authority (LA) must agree unless:

• the school is unsuitable for the child’s age, ability and aptitude and provision of the special educational needs set out in part two of the statement
• the child’s attendance is incompatible with the efficient education of other children in the school
• the child’s attendance is incompatible with the efficient use of the local authority’s resources.

The LA must respond within eight weeks of your request and you can appeal to SENTW if you disagree with their decision.

If you are requesting a change of school, check that the school can provide the provision specified in part three of the statement.

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Your child’s transfer from primary to secondary school

The move from primary school to secondary school should be considered at the Year five review of your child’s statement, so recommendations can be given about the type of provision your child will require at secondary school. This will give you time to visit different secondary schools and consider the options available.

Your child’s statement must be amended by the 15th February of the year of transfer. This is Year six if your child is moving from primary to secondary school. In some cases, the annual review will be brought forward to the autumn term if the secondary school options were not clear at the Year five review. All the arrangements for your child’s placement at secondary school should be completed by the beginning of March of the year of transfer.

Transition planning for post-school life

Year nine annual review and the transition plan meeting

There should be several agencies involved in your child’s Year nine annual review. Careers Wales should attend and other agencies with a major role in your child’s post-school life, such as health and social services, should be invited. The review should focus on the needs of your child as they move into further education, training or employment. The transition plan should be created as a result of the Year nine annual review and should be reviewed annually when the statement is reviewed. The transition plan brings together information to help plan for a young person’s move into adult life.

For more detailed information about transition, see the Contact a Family guide ‘Preparing for adult life and transition’.

Action you can take if you’re unhappy

Sometimes parents disagree with a school’s and/or local authority’s views of their child’s special educational needs and the support necessary to meet those needs. There are different courses of action open to you if you are unhappy with the support your child is receiving.

Disagreement resolution services

All LAs must provide disagreement resolution services. These are independent services that can help resolve disagreements between LAs and parents about the performance of duties under education legislation, and between parents and schools about the special educational provision for their child.

LAs should inform you of these services when they inform you of the right to appeal to SENTW. You can use disagreement resolution services and still appeal to SENTW. However, if you are involved in disagreement resolution, be careful not to let the deadline pass for lodging an appeal.
Special Educational Needs and Disability Tribunal Wales (SENTW)

You can appeal to SENTW against decisions made by the LA about your child’s education. Appeals to the tribunal regarding SEN issues must be lodged within two months of the LA’s decision.

What you can appeal to the tribunal about

You can appeal to the tribunal if your local authority:

• refuses to carry out a statutory assessment or reassessment of your child’s SEN (if the LA has not made a new assessment for at least six months)
• refuses to issue a statement after carrying out an assessment.

Also, if the LA has made a statement, amended an existing statement or refused to amend a statement after a reassessment, you can appeal against:

• the description of your child’s SEN in part two of the statement
• the description of the educational provision in part three of the statement
• the school named in part four of the statement
• if no school is named in part four of the statement
• refuses to change the school named in your child’s statement, if the statement is at least a year old (please note you can only request a LA maintained school)
• decides not to maintain your child’s statement.

Sometimes parents disagree with the school’s and/or local authority’s views of their child’s special educational needs and the support needed to meet those needs. There are different courses of action open to you if you are unhappy with the support your child is receiving.

You cannot appeal to SENTW:

• if the LA refuses to name an independent or non-maintained school when you ask for a change in a statement that is at least a year old
• about the way the LA carried out the assessment and/or the length of time it took
• about how the LA is providing the help specified in your child’s statement
• about the way the school is meeting your child’s needs
• about parts five and six of your child’s statement – your child’s non

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www.cafamily.org.uk
educational needs and how the LA plans to meet those needs
• if the LA will not amend the statement after an annual review.

Appealing to the SENTW about disability discrimination

SENTW also deals with disability discrimination claims. See page 26 for further information about disability discrimination. You can appeal to SENTW if you feel that a school has discriminated against your child in their:

• admissions arrangements
• education and associated services, including school sports, school trips and lunch and break times
• exclusions, whether they are permanent or for a fixed period.

You have six months from when the alleged discrimination took place to make a claim.

More information about appealing to the SENTW

You can contact SENTW for further information about what you need to do if you decide to appeal and the appeal process. They also produce the guides ‘Special Educational Needs – How to Appeal’ and ‘Disability Discrimination in Schools: How to Make a Claim’.

Special Education Needs Tribunal in Wales (SENTW)
Unit 32, Ddole Road Enterprise Park, Llandrindod Wells, Powys LD1 6DF
Helpline: 01597 829 800
www.sentw.gov.uk

Other ways you can make a complaint

There are other ways you can make a complaint if you are unhappy with the education provision for your child. These are briefly outlined below. However, it is important that you get specialist advice before pursuing these.

Complaining to the Local Government Ombudsman

You can complain to the Local Government Ombudsman about cases of ‘maladministration’ if there is something that the local authority has done wrong or failed to do that has directly affected you. Some examples of complaints to the Local Government Ombudsman are about delays in taking action, failing to provide information, and children missing out on education.

Wales is now covered by the Public Services Ombudsman for Wales.

Public Services Ombudsman for Wales
1 Ffordd yr Hen Gae
Pencoed CF35 5LJ
Tel: 0845 601 0987
www.ombudsman-wales.org

Court action

A judicial review is the way that courts investigate whether public bodies are exercising their powers lawfully. A judicial review will consider how the public body, such as a LEA, carried out their duties and check that their decisions were lawful and reasonable.
There may be other legal routes open to parents, such as challenges under the Human Rights Act 1998 and cases of negligence. We recommend that parents get specialist legal advice if they are thinking of taking legal action.

**Secretary of State for Education**

If you feel that the LA or school governors have acted ‘unreasonably’ or have failed in their duties under the Education Acts, you can complain to the secretary of state. You will usually have to demonstrate that you have exhausted all other complaint processes before complaining to the secretary of state.

**Pupils with medical needs**

**Support available for children with medical needs**

All schools should have a policy and procedures for dealing with the education of pupils with medical needs. This may be incorporated with the school’s SEN policy. It should include information such as procedures for ensuring a smooth return to school after a period of absence due to medical needs, provision of work for pupils while absent for such a reason, monitoring attendance and strategies of support for long term absence. It should also include information on how the school will deal with the supervision or giving of medication to children or young people.

**Health care plans**

If your child has medical needs, they may benefit from a health care plan. This will identify the level of support that your child needs and clarifies the help that can be provided. This should be drawn up between you, the school, other relevant services and the child if possible. This should be reviewed at least annually, but can be reviewed more frequently depending on your child’s needs. Not all children with medical needs will require a care plan but a written agreement between the school and parents would be sufficient.

**Medical needs and SEN**

‘Access to education and support for children and young people with medical needs’ is government guidance which sets out the minimum national standards for the education of children and young people who are unable to attend school because of a medical need. This says that a medical condition may increase the likelihood that a pupil has a significantly greater learning difficulty than the majority of children of the same age; and that their condition may amount to a disability which prevents or hinders them from making use of the educational facilities generally provided for children of their age. If this is the case, then they meet the definition for special educational needs.

**Diagnosis and SEN**

The SEN Code of Practice for Wales does stress that a medical diagnosis or disability does not necessary imply SEN but accepts that some pupils have medical conditions that, if not properly managed, could hinder their access to education.
Education in hospital

Children who are in hospital for long periods of time are entitled to be educated in the hospital. This should be arranged by the LA and should fit the needs and ability of that child and what they can do whilst in hospital.

Home education

Sometimes, children with medical conditions are unable to attend school and are not receiving education in hospital, but are receiving home tuition. LAs should ensure that pupils at home with medical needs:

- receive education after 15 working days absence at the latest
- receive education from day one if they have prolonged or recurring periods of absence
- receive an education of similar quality to that available in school, including a broad and balanced curriculum
- receive a minimum of five hours teaching per week.

Five hours of teaching a week is the minimum and local authorities can increase this, where necessary, to enable your child to keep up with their studies. This is particularly important when your child is approaching public examinations.

In some situations your child may not be well enough to have any home tuition.

Contact our helpline for further advice about the support available for children and young people with medical needs.
Parent partnership services available

Parent partnership services provide advice, support and information to parents and carers whose children have special educational needs at all levels of the decision-making process, from School Action to appeals regarding statements. All LAs must make arrangements for such services and in Wales these are currently provided by voluntary organisations. Parent partnership services should provide impartial advice and support so you can make informed decisions about your child’s special educational needs.

Independent parental supporters

Parent partnership services are expected to provide a range of services including access to an independent parental supporter for any parent who wants one. An independent parental supporter is someone who can support you by, for example, attending meetings, encouraging parental participation and providing information about SEN procedures. Parent partnership service contact details are listed under SNAP Cymru on page 29.

Pupil participation

Right to opinion

Your child’s input and views are important in their education. The United Nations Convention on the Rights of the Child says that children, who are capable of forming views, have a right to receive information, to express an opinion and to have that opinion taken into account in any matters affecting them.

Involving your child in making decisions

The SEN Code of Practice says that children should, where possible, take part in all decision-making processes in education. This includes setting learning targets, choosing a school, contributing to the assessment of their needs, annual reviews and the transition process. All children, regardless of whether they are attending a mainstream or special school, should be given the same chances to take part in decisions about their education. However, some children may need more support and time than others to express their views.

Support available for children to take part in decision making

Your child may want to express their views through you or another family member, an independent supporter such as an advocate, a careers advisor, counsellor, social worker or health professionals.

Freephone helpline: 0808 808 3555
www.cafamily.org.uk
Sometimes there are differences of opinion. If you and your child have different views, both views should be listened to and recorded separately with respect for any differences of opinion.

**Making a complaint**
Depending on the circumstances of the case, you can take your case to the Special Educational Needs and Disability Tribunal for Wales (SENTW). You should make a claim of discrimination within six months of the incident. See section ‘What you can do if you’re unhappy’ on page 21 for more details.

**The Equality Act**

It is unlawful for any school or provider of further education, higher education, adult and community education to discriminate against disabled pupils (including prospective pupils), under the Equality Act 2010. The Equality Act is a new piece of legislation that replaces the previous Disability Discrimination Act 2005. Disability discrimination is still covered under the new Equality Act 2010.

**Who is covered by the Equality Act?**
Your child will be covered by the Equality Act 2010 if they have a disability as
defined by the Act. The Equality Act defines disability as ‘a physical or mental impairment, which has a substantial and long-term adverse effect on a person’s ability to perform normal day-to-day activities’. This is a broad definition and includes a range of impairments such as mobility and sensory difficulties, mental health issues, epilepsy, AIDS, diabetes and multiple sclerosis. Not all disabled children have special educational needs, for example a child with severe asthma may have a disability under the Equality Act but may not have special educational needs. It may also be the case that a child may have SEN but is not covered by the Equality Act.

The areas of education where the Equality Act applies

It is against the law for schools to discriminate against a disabled child for a reason relating to their disability in:

• admissions (unless this is a permitted form of selection)
• education and associated services. This includes all aspects of school life, such as school trips, extra curricular activities, teaching and learning, school sports and exclusions.

What counts as discrimination?

Discrimination may take two forms:
• less favourable treatment of a disabled pupil, or prospective disabled pupil, that the school cannot justify
• failure to make ‘reasonable adjustments’ to ensure a disabled pupil, or prospective disabled pupil, is not at a substantial disadvantage compared to non-disabled pupils.

Promoting equality in schools

There is an Equality Duty that exists under the Equality Act 2010. Schools are now required to promote equality of opportunity for disabled people. All primary and secondary schools maintained by a local authority (including all local authorities themselves) must have a published disability equality scheme. A disability equality scheme should set out the school’s priorities for promoting equality, for example reducing the number of exclusions of disabled pupils. Independent schools are not required to comply with the equality duties, although they are encouraged to do so as a matter of good practice.

The body responsible for complying with the Equality Act

In education, it is the duty of the responsible body to ensure that a school complies with the Equality Act. The responsible body may be the governing body, local authority, or owner of the school depending on which type of school your child attends.

Accessibility plans

Local authorities and schools also have a legal duty to prepare accessibility plans to improve the access to education for disabled pupils. These should include how they are going to make the physical

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www.cafamily.org.uk
environment, curriculum and the provision of information more accessible for disabled pupils and other persons accessing the premises.

Further information and advice on disability discrimination
If you feel that your child has been discriminated against then you can contact the Equality and Human Rights Commission for specialist advice.

Equality and Human Rights Commission Wales (EHRC)
3rd floor, 3 Callaghan Square, Cardiff CF10 5BT
Helpline: 0845 604 8810 Textphone: 0845 604 8820
http://equalityhumanrights.com/wales

Documents for further information and advice

Early Support Wales
This program can help families to access support and services. It is designed to work through resources and training providers, and put parents at the heart of the process for accessing services. You can access and find out more about this through www.earlysupportwales.org.uk

Useful documents
There are a number of key documents produced by the Welsh Government that you may find useful. The majority of the documents listed here are aimed at LAs and education settings, but are still useful for parents as they outline the responsibilities of LAs and education settings.

The following documents are available free of charge from the Welsh Government Publications Centre on 029 2082 3683 or email: wag-en@mailuk.custhelp.com

Access to education and support for pupils with medical needs
The Welsh Government has developed this guidance document primarily to provide advice to schools and LAs on meeting the educational needs of pupils with medical needs. It emphasises the need for continuity in education and the impact medical conditions can have on a pupil’s education, health and well-being.

Inclusion and Pupil Support
This document contains guidance for schools, local authorities and their partners relating to inclusion and pupil support. It aims to provide a framework for inclusion, including key documents to assist in developing LA’s and school’s approaches to planning, supporting and meeting the needs of all learners.

Special Educational Needs: Information for parents and carers of children and young people who may have special educational needs
This guide provides information on the stages of assessment and the statementing process, as well as information about further sources of information.

Special Educational Needs Code of Practice for Wales
The code of practice gives practical guidance to local authorities, maintained schools, early years’ settings and others on carrying out their statutory duties to
identify, assess and make provision for children’s special educational needs.

**Exclusion from schools and pupil referral units Circular 1/2004**

This guidance covers the exclusion of pupils from schools and pupil referral units (PRUs), the procedures for appealing against an exclusion, and steps to take to maintain the education of excluded pupils.

ACE and IPSEA all produce guides for parents around getting support for their child’s special educational needs. Contact them directly or visit their website for copies.

**Useful organisations**

The following organisations can provide further advice for parents with children with special educational needs. As well as these, most of the large charities and national support groups that deal with specific disabilities have their own education advisers or produce guides about various aspects of education. Your local parent partnership service may also be able to advise and support you. For further details about these organisations and how to contact them, ring our freephone helpline on 0808 808 3555.

**Welsh Government**

Additional Learning Needs, Cathays Park, Cardiff CF10 3NQ
Tel: (Welsh) 0845 010 4400
Tel: (English) 0845 010 3300
http://wales.gov.uk

 Publishes statutory guidance on education.

**SNAP Cymru**

Central Office, 10 Coopers Yard Curran Road, Cardiff CF10 5NB
Helpline: 0845 120370
www.snapcymru.org

Supports parents through all aspects of the statementing process.

**ACE (Advisory Centre for Education)**

1c Aberdeen Studies, 22 Highbury Grove, London, N5 2DQ
General helpline: 0808 800 5793
Exclusion helpline: 0808 800 0327
http://www.ace-ed.org.uk

Offers free guidance and advice on all aspects of state education including admissions, exclusion and special educational needs.

**CSIE (Centre for Studies on Inclusive Education)**

New Redland, Frenchay Campus Coldharbour Lane Bristol BS16 1QU
Tel: 0117 328 4007
www.csie.org.uk

Provides information about inclusive education and related issues.
Provides support and information for families whose children are being educated outside of school. The telephone number gives recorded information of local contacts.

**Equality and Human Rights Commission Wales (EHRC)**

(the Disability Rights Commission is now part of this)

Freepost RRLR-UEYB-UYZL,
3rd Floor, 3 Callaghan Square,
Cardiff CF10 5BT
Tel: 0845 604 8810
Textphone: 0845 604 8820
www.equalityhumanrights.com/en/wales

The commission is responsible for the work of the previous three equality commissions on disability, equal opportunities and racial equality. They also take on responsibility for other aspects of equality; age, sexual orientation and religion or belief as well as human rights.

Provides free and independent advice to parents of children with special educational needs. They offer a free representation service.

**Parents for Inclusion**

336 Brixton Road, London SW9 7AA
Tel: 0800 652 3145
www.parentsforinclusion.org

A network of parents of disabled children and children with special educational needs. Promotes the rights of disabled children to attend their local mainstream school.
Social networking
Contact a Family is on Facebook and Twitter. Join us at:

Facebook
www.facebook.com/contactafamily

Twitter
http://twitter.com/contactafamily

Podcasts
You can download podcasts from our website at:
www.cafamily.org.uk.news/podcasts.html

iTunes users can listen to our podcasts at: http://bit.ly/96EVT

Videos
You can watch videos on our YouTube channel at:
www.youtube.com/cafamily

Freephone helpline: 0808 808 3555
www.cafamily.org.uk
Other information guides available

This guide is one of a series produced for parents and groups concerned with the care of disabled children. Titles available include:

- Concerned about your child? (UK)
- A guide to claiming Disability Living Allowance for children (UK)
- The tax credits guide (UK)
- Working (UK)
- Disabled children’s services in England, Scotland and Wales
- Getting direct payments for your disabled child (England & Wales)
- The NHS and caring for a disabled child (Wales)

A full list of Contact a Family publications is available on request or can be downloaded from our website www.cafamily.org.uk